

COMPANY ACCOUNT APPLICATION FORM

IMPORTANT NOTICE

Before completing this Application Form to open an account it is essential that you have read and fully understood Lucror Capital Markets General Terms and Conditions and Disclosure Statement. If you have not received, read and fully understood these documents please do not complete this Application Form until you have. Please contact **accounts@lucrorfx.com** for copies of these documents.

Please complete all sections of this form. When completed, this application will form part of your Agreement with Lucror Capital Markets LP. Please note that the Agreement consists of this Application Form, General Terms & Conditions and Disclosure Statement.

Lucror Capital Markets LP (LCM) will use the information gained from this application form in assessing an applicant's suitability in entering this business. For this reason it is essential that all the information provided below is entirely accurate and if, at a future date, any circumstances adversely affect this information you are required to write to us advising us of these details.

For any questions related to the completion of this form, the General Terms and Conditions, the Disclosure Statement or the required documents to submit, please contact us at: **accounts@lucrorfx.com**

All application forms must be accompanied by:

- Certificate of Incorporation;
- Memorandum & Articles of Association;
- Directors Register (or other evidence of Directors);
- Shareholders Register (or other evidence of Ownership); and
- One document from list A and one from list B for each Director and authorised person/s.

LIST A – PROOF OF IDENTITY

A high quality colour copy of a current government issued identification showing your photo e.g. Passport, National Identity Card or Drivers Licence.

LIST B – PROOF OF IDENTITY AND ADDRESS

A Bank Statement showing your name and address, the banks name and address and your account number. This should be dated within the last 6 months.

HOW TO SUBMIT

We rely on the accuracy of the information you provide in assessing your application. It is therefore essential that if any of your circumstances change, you should inform us immediately.

Please complete the application form, attach relevant documentation and forward to us by:

Mail: PO Box 305620 Triton Plaza, Auckland 0757, New Zealand

Fax: NZ: ++64.9.2813160

Email: accounts@lucrorfx.com

Web: www.lucrorfx.com

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GENERAL INFORMATION

Entity Name:

Registered Address:

City:

State:

Country:

Country Incorporated In:

Authorised Contact Person:

Phone:

E-mail:

Fax:

Industry:

Number of Directors:

FINANCIAL INFORMATION

Approximate Net Worth: \$25,000 - \$50,000 \$50,001 - \$250,000 Over \$250,001

Investment Objective: Speculative Hedging

Approximate Investment: \$1,000 - \$50,000 \$50,001 - \$250,000 Over \$250,001

Trading Experience

Equities: 1-3 Years 3-5 Years Over 5 Years

Options: 1-3 Years 3-5 Years Over 5 Years

Futures/CFDs: 1-3 Years 3-5 Years Over 5 Years

FOREX: 1-3 Years 3-5 Years Over 5 Years

BANKING DETAILS

Name of Bank:

Account Holders Name:

Base Currency of Account:

Account Number:

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INDIVIDUAL DIRECTORS

Please note, each individual Director will need to complete the Individual Directors form and must provide two forms of identification (One from List A and one from List B).

DIRECTOR (1)

First Names:		Surname/Family Name:	
Title:		Date of Birth:	
Email:	Phone:	Fax:	
Current Address:			
City:	State:	Country:	

DIRECTOR (2)

First Names:		Surname/Family Name:	
Title:		Date of Birth:	
Email:	Phone:	Fax:	
Current Address:			
City:	State:	Country:	

DIRECTOR (3)

First Names:		Surname/Family Name:	
Title:		Date of Birth:	
Email:	Phone:	Fax:	
Current Address:			
City:	State:	Country:	

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AUTHORISED PERSONS

Will any other person aside from you personally give us instructions regarding trading to be undertaken on your account, or will an agent act on your behalf? Yes No

If yes, please list names of all persons authorised to act on your account :

Please note, each Authorised person will need to complete the Authorised Persons form (below) and each person must provide two forms of identification (One from List A and one from List B) prior to being accepted as an Authorised Person.

AUTHORISED PERSON (1)

First Names:	Surname/Family Name:	
Relationship to Applicant:	Date of Birth:	
Phone:	Email:	
Current Address:		
City:	State:	Country:
Identification Type & Number:	Signature	

AUTHORISED PERSON (2)

First Names:	Surname/Family Name:	
Relationship to Applicant:	Date of Birth:	
Phone:	Email:	
Current Address:		
City:	State:	Country:
Identification Type & Number:	Signature	

AUTHORISED PERSON (3)

First Names:	Surname/Family Name:	
Relationship to Applicant:	Date of Birth:	
Phone:	Email:	
Current Address:		
City:	State:	Country:
Identification Type & Number:	Signature	

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CERTIFIED CORPORATE BOARD OF DIRECTORS RESOLUTION

I, the undersigned, CERTIFY that:

(i) The Board of Directors ("Board") of _____ (the "Company") a company duly incorporated and subject to the laws of _____ having its registered or principal office at _____ lawfully passed the resolutions that follow below ("Resolutions");

(ii) The Resolutions were lawfully passed at a properly called meeting of the Board, held on _____ at which meeting a quorum was present, and the Resolutions are recorded in the minutes of the Board meeting, or the Resolutions were otherwise lawfully passed;

(iii) I am the duly appointed officer of the Company responsible for maintaining the minutes and records of the Company; and

(iv) The Resolutions have not been rescinded or modified.

IT IS RESOLVED by the Board of the Company that:

1. Any director of the Company and/or

(List names of individuals) (each an **Authorised Person**) is authorised to establish and maintain on behalf of the Company one or more accounts with the Company or its successors or assigns ("Account") for the purpose of trading in spot foreign exchange and spot metal contracts, for hedging, speculation or otherwise. The authority granted to each Authorised Person includes (without limitation) the power to do any and/or all of the following:

1. to give written or oral instructions to Lucror Capital Markets LP (LCM) with respect to transactions;
2. to bind and commit the Company to any contract, arrangement or transaction entered into with or through LCM for and on behalf of the Company;
3. to pay, whether by cash, cheques or drafts drawn upon the funds of the Company or otherwise, such sums considered necessary or desirable in connection with any of the Accounts;
4. to deliver any amount of any currency to the Company;
5. to authorise the transfer or delivery of any amount of any currency to any other person;
6. to affix the corporate seal to any document or agreement;
7. to sign for the Company all releases and/or other documents in connection with any such Account;
8. to agree to any terms or conditions for the control of the Account;
9. to appoint any other person(s) to do any and all things that any of the Authorised Persons is authorised to do;
10. to accept delivery of any amount of any currency; and
11. generally to do and take any and all action necessary in connection with the Account or any Spot Foreign Exchange and Spot Metal Contracts considered desirable;

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CERTIFIED CORPORATE BOARD OF DIRECTORS RESOLUTION

2. any two directors of the Company (or any director and a witness) are authorised to affix the Company's seal to or otherwise execute any document to be entered into by the Company in connection with any Account with Lucror Capital Markets LP (LCM) or any Contracts entered or to be entered into in respect of any Account;

3. (or such other person as the Company may notify LCM in writing) who is not an Authorised Person is authorised to receive and verify the correctness of all written confirmations of all Contracts effected by the Company for the Company, and all statements of Account(s) for the Company and other pertinent records and documents;

4. any and all Contracts entered or purportedly entered into before the passing of these Resolutions, by or on behalf of the Company, with or through The Company, is affirmed and ratified if such Contracts would have been validly entered into on behalf of the Company if entered into after the passing of these Resolutions;

5. LCM is authorised to act upon the authority of all and any of these Resolutions until receipt by LCM of a certificate showing rescission or modification of these Resolutions signed by any director or the secretary of the Company and that LCM is also authorised to recognise, deal with and accept instructions in relation to the trading or operation of any Account or any transaction entered or to be entered into for or on behalf of the Company from any of the Authorised Persons referred to above or such other person or persons as may be notified to LCM in writing from time to time by any director or the secretary of the Company.

I FURTHER CERTIFY that the directors of the Company are:

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed the seal of the Company (if any) this _____ Day of _____ 20 _____

Seal:

Directors Name:

Signature:

Directors Name:

Signature:

Directors Name:

Signature:

Note: The person authorised to receive confirmations of transactions in resolution (3) may not be an Authorised Person under resolution (1).

CLIENT SERVICES AGREEMENT

DECLARATION

In applying to become a Client of Lucror Capital Markets LP , I confirm that I:

1. Am over 18 and that the information provided by me to you is true and accurate.
2. Have authority to execute this agreement
3. Have read, understood and accept in full Lucror Capital Markets LP Agreement (including the General Terms and Conditions and Disclosure Statement) and have a copy of this Agreement
4. Understand that trading leveraged products carries a high level of risk and I have been advised to seek independent financial advice.
5. Will act in good faith and observe all applicable laws, codes and regulations during my dealings with Lucror Capital Markets LP.

ACKNOWLEDGEMENT

The Client acknowledges that:

1. The Client has appointed Lucror Capital Markets LP as the Client's agent for the purpose of dealing in Spot Foreign Exchange in accordance with the terms of the General Terms and Conditions and Disclosure Statement.
2. The Client agrees that the General Terms and Conditions and Disclosure Statement and this Acknowledgement are the one agreement for the purposes of this agreement.
3. The Client acknowledges that they are the beneficial owner of all monies lodged with Lucror Capital Markets LP and should funds wished to be lodged for the benefit of the Client's account that are not wholly owned by the Client, the Client will request approval from Lucror Capital Markets LP in writing prior to lodging such monies.
4. The Client confirms that it does not have any pending litigation, disputed accounts or other unresolved matters whatsoever. If the Client does have any pending litigation, disputed accounts or other unresolved matters whatsoever then the Client must advise Lucror Capital Markets LP in writing of such matters and Lucror Capital Markets LP must consider such matters prior to approving the opening of the account.
5. The Client acknowledges that all information provided in this Application Form including all information pertaining to the Client in the Client Information section of this agreement is true and accurate. Further, the Client will immediately notify Lucror Capital Markets LP in writing if any representations materially change or cease to be true and accurate.

SIGNATURES

*I/*We confirm that *I/*we have heard/read and understand this Client Services Agreement, General Terms and Conditions and the Disclosure Statement and Acknowledgement and that the trading terms and services used in it have been explained to *me/*us by the giver of this statement. By signing this Client Services Agreement the Client agrees to be legally bound by its terms and conditions.

Signature of Applicant:

Date:

Accepted by Lucror Capital Markets LP: